

17621 U.S. PTO
121703

Atty. Dkt. No. 037607-0135

031088 U.S. PTO
10/738468

121703

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: William R. Nolan III
Title: SYSTEM AND METHOD FOR
PROCESSING DATA
PERTAINING TO FINANCIAL
ASSETS
Appl. No.: To be determined
Filing Date: 12/17/03
Examiner: To be determined
Art Unit: To be determined

CERTIFICATE OF EXPRESS MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.	
EL 979077269 US	12/17/03
(Express Mail Label Number)	(Date of Deposit)
Roberta A. Cooper (Printed Name)	
 (Signature)	

UTILITY PATENT APPLICATION
TRANSMITTAL

Mail Stop PATENT APPLICATION
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

William R. Nolan III
18308 Dundonnell Way
Olney, Maryland 20832

Enclosed are:

- ☒ [X] Specification, Claim(s), and Abstract (52 pages).
- ☒ [X] Formal drawings (9 sheets, Figures 1, 2, 3A, 3B, 4, 5, 6, 7 and 8).
- ☒ [X] Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i) (1 page).
- ☒ [X] Application Data Sheet (37 CFR 1.76) (3 pages).

The filing fee is calculated below:

	Claims as Filed		Included in Basic Fee		Extra Claims		Rate		Fee Totals
Basic Fee							\$770.00	=	\$770.00
Total	32	-	20	=	12	x	\$18.00	=	\$216.00
Claims:									
Independents	5	-	3	=	2	x	\$86.00	=	\$172.00
:									
If any Multiple Dependent Claim(s) present:					+		\$290.00	=	\$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration					+		\$130.00	=	\$130.00
							SUBTOTAL:	=	\$1288.00
[]							Small Entity Fees Apply (subtract ½ of above):	=	\$0.00
							TOTAL FILING FEE:	=	\$1,288.00

[X] A check in the amount of \$1,288.00 to cover the filing fee is enclosed.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 12/17/03

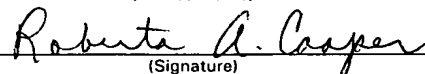
By Michael S. Brayer

FOLEY & LARDNER
Customer Number: 34099
Telephone: (414) 297-5684
Facsimile: (414) 297-4900

Michael S. Brayer
Attorney for Applicant
Registration No. 51,495

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Roberta A. Cooper (Printed Name)	
 (Signature)	

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

Applicant hereby certifies that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant hereby requests that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

Date 12/17/03

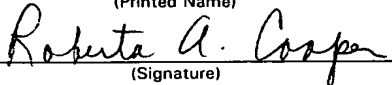
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Michael S. Brayer
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TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

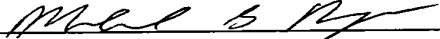
ATTENTION: DRAWING REVIEW BRANCH

Sir:

Transmitted herewith are the formal drawings (9 sheets, Figures 1, 2, 3A, 3B, 4, 5, 6, 7 and 8) for the above-identified application. The Official Draftsperson is respectfully requested to approve these drawings for entry into the application.

Respectfully submitted,

Date 12/17/03

By 

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